In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE

Serial No.: Applicants:

Not Yet Assigned

Filing Date: Group: Larry Stuart Pendell (herewith)

Atty. Docket No.:

Not Yet Assigned 23409-P001US



Reference Designation

STATEMENT

## **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
SC AAA	4,786,853	11/22/88	VanMaaren	322	75	
_@C_ABC	4,808,868	02/28/89	Roberts	310	68	
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HFA

ABC

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
AQA						
ARA				/	/	

Examiner
Initial

ASA

"Using Induction Motors as Generators," via Internet at http://www.smallhydropower.com/induction.htm,
p. 1.

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"AC Fundamentals," via Internet at http://onlinetools.chipcenter.com/netsim/tesla/acbasics.html, pp. 1-4.

"Dual Stator Winding Induction Machine Drive," Wisconsin Alumni Research Foundation, via Internet at http://wiscinfo.wisc.edu/warf.boi/p98173us.html, p. 1.

Arthur D. Little. "Distributed Generation: System Interfaces," pp. 1-25.

Examiner:

Date Considered: 5-30-03

EXAMINER: Aprilal if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUSTIN\_1\182215\1 01/24/2002 - 23409-P001US





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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete If Known						
Application Number	10/056,371					
Filing Date	Jan. 24, 2002					
First Named Inventor	Pendell					
Art Unit	2834					
Examiner Name	unassigned					
Attorney Docket Number	23409- POOLLIS					

		U.S. PATI	ENT DOCUMENTS	
Examiner Cite nitials No.1	Document Number  Number - Kind Code <sup>2</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
2	US- 6,356,041 B1 US- 6,049,188 US- 5,986,438 US- 5,545,965 US- 5,300,870 US- 4,4792,740 US- 4,484,125 US-	03-12-2002 04-11-2000 11-16-1999 08-13-1996 04-05-1994 12-20-1988 11-20-1984	Smith Smith Wallace et al. Smith Smith Smith Hertz	3/8/34 3/8/786 322/20 3/8/768 3/8/768 3/8/768

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Examiner Initials	Cite No.1	Foreign Patent Document  Coursy Code 3 - Number 4 - Kind Code 9 (# Innovers)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	\ 		
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Examiner Date wwas 5-30-03

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